

## **Exhibit B**

Pg 2 of 12  
CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

## BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

**REDACTED**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: \_\_\_\_\_

HOME: 631-643-7493

Taxpayer I.D. Number (Social Security No.)

\_\_\_\_\_  
-7589

Account Number: 1R0102

NTC &amp; CO.

FBO MICHAEL ROTH (41051)

P O BOX 173859

DENVER, CO 80217

(If incorrect, please change)

**NOTE:** BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

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1. Claim for money balances as of December 11, 2008:

a. The Broker owes me a Credit (Cr.) Balance of \$ 0b. I owe the Broker a Debit (Dr.) Balance of \$ 0

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed  
with this claim form.

\$ 0  
NONE

- d. If balance is zero, insert "None."

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities        | <u>YES</u> |           |
| b. I owe the Broker securities          |            | <u>NO</u> |
| c. If yes to either, please list below: |            |           |

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	Number of Shares or Face Amount of Bonds	I Owe the Broker (Short)
<u>AS PER ENCLOSED 11-30-08</u>				
<u>STATEMENT</u>				

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | _____ ✓   |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | _____ ✓   |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | _____ ✓   |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | _____ ✓   |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | _____ ✓   |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | _____ ✓   |

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 1-14-09 Signature [Signature]

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.  
MICHAEL ROTH

24 EBBTIDE LANE  
DIX HILLS NY 11746

PERIOD ENDING <b>11/30/08</b>	PAGE <b>1</b>
YOUR ACCOUNT NUMBER <b>1-R0102-3-0</b>	
YOUR TAX PAYER IDENTIFICATION NUMBER <b>*****6253</b>	

BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
6,132			BALANCE FORWARD		407,078.73	
4,380		194	WELLS FARGO & CO NEW	29.800	182,978.60	
3,796		696	HEWLETT PACKARD CO	34.900	153,037.00	
2,482		4520	WAL-MART STORES INC	55.830	212,081.68	
9,198		5022	INTERNATIONAL BUSINESS MACHS	87.270	216,703.14	
10,074		8846	EXXON MOBIL CORP	72.880	670,717.24	
4,818		9348	INTEL CORP	14.510	146,575.74	
6,570		13674	JOHNSON & JOHNSON	59.580	287,248.44	
3,504		17999	J.P. MORGAN CHASE & CO	38.530	253,404.10	
2,044		22325	COCA COLA CO	44.660	156,628.64	
3,796		26651	MCDONALDS CORP	55.370	113,257.28	
13,870		30977	MERCK & CO	28.550	108,526.80	
7,008		35303	MICROSOFT CORP	21.810	303,058.70	
2,774		39629	ORACLE CORPORATION	17.300	121,518.40	
1,606		52607	PEPSICO INC	56.410	156,591.34	
11,826		53109	APPLE INC	100.780	161,916.68	
2,774		56933	PFIZER INC	16.940	200,805.44	
5,256		57435	ABBOTT LABORATORIES	54.610	151,598.14	
1,898		61259	PROCTER & GAMBLE CO	64.080	337,014.48	
3,650		61761	AMGEN INC	59.160	112,360.68	
8,760		65585	PHILLIP MORRIS INTERNATIONAL	43.600	159,286.00	
2,920		66087	BANK OF AMERICA	21.590	189,478.40	
9,490		69911	QUALCOMM INC	33.770	98,724.40	
		70413	CITI GROUP INC	12.510	119,098.90	
			CONTINUED ON PAGE 2			

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BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
2,190		74237	SCHLUMBERGER LTD	49.480	108,448.20	
5,256		74739	COMCAST CORP	16.510	86,986.56	
			CL A			
10,366		78563	AT&T INC	27	280,296.00	
2,628		79065	CONOCOPHILIPS	52.510	138,101.28	
1,752		82889	UNITED PARCEL SVC INC	52.040	91,244.08	
			CLASS B			
10,658		83391	CISCO SYSTEMS INC	16.730	178,734.34	
3,066		87215	U S BANCORP	29.530	90,660.98	
3,650		87717	CHEVRON CORP	73.430	268,165.50	
1,752		91541	UNITED TECHNOLOGIES CORP	53.160	93,206.32	
18,542		92043	GENERAL ELECTRIC CO	19.630	364,720.46	
4,964		95867	VERIZON COMMUNICATIONS	30.410	151,153.24	
438		96369	GOOGLE	337.400	147,798.20	
	50,000	20117	U S TREASURY BILL DUE 12/18/2008	99.942		49,971.99
			12/18/2008			
	6,550,000	22804	U S TREASURY BILL DUE 2/12/2009	99.936		6,545,808.00
			2/12/2009			
			FIDELITY SPARTAN	DIV		54.55
			U S TREASURY MONEY MARKET			
			DIV 11/12/08			
	54,641	17904	FIDELITY SPARTAN	1		54,641.00
			U S TREASURY MONEY MARKET			
			CONTINUED ON PAGE 3			

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8,857		27273	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	8,857.00	1.09
	8,857	52740	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		8,857.00
425,000		57281	DIV 11/19/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET	99.926	424,685.50	
21,589		61778	U S TREASURY BILL DUE 03/26/2009 3/26/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	21,589.00	
10,366 2,774 1,898 1,606 8,760 3,650 10,658 9,490 3,504			NEW BALANCE SECURITY POSITIONS AT&T INC ABBOTT LABORATORIES AMGEN INC APPLE INC BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO	MKT PRICE 28.560 52.390 55.540 92.670 16.250 79.010 16.540 8.290 46.870	815,002.97	
			CONTINUED ON PAGE 4			



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5,256			COMCAST CORP	17.340		
2,628			CL A	52.520		
9,198			CONOCOPHILIPS	80.150		
18,542			EXXON MOBIL CORP	17.170		
438			GENERAL ELECTRIC CO	292.960		
4,380			GOOGLE	35.280		
10,074			HEWLETT PACKARD CO	13.800		
2,482			INTEL CORP	81.600		
6,570			INTERNATIONAL BUSINESS MACHS	31.660		
4,818			J.P. MORGAN CHASE & CO	58.580		
2,044			JOHNSON & JOHNSON	58.750		
3,796			MCDONALDS CORP	26.720		
13,870			MERCK & CO	20.220		
7,008			MICROSOFT CORP	16.090		
2,774			ORACLE CORPORATION	56.700		
11,826			PEPSICO INC	16.430		
3,650			PFIZER INC	42.160		
5,256			PHILLIP MORRIS INTERNATIONAL	64.350		
2,920			PROCTER & GAMBLE CO	33.570		
2,190			QUALCOMM INC	50.740		
21,589			SCHLUMBERGER LTD	1		
3,066			FIDELITY SPARTAN	26.980		
1,752			U S TREASURY MONEY MARKET	57.600		
			U S BANCORP			
			UNITED PARCEL SVC INC			
			CLASS B			
			CONTINUED ON PAGE 5			

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BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
425,000			U S TREASURY BILL DUE 03/26/2009	99.971		
1,752			3/26/2009			
4,964			UNITED TECHNOLOGIES CORP	48.530		
3,796			VERIZON COMMUNICATIONS	32.650		
6,132			WAL-MART STORES INC	55.880		
			WELLS FARGO & CO NEW	28.890		
			MARKET VALUE OF SECURITIES LONG 6,878,976.09			
			SHORT			

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BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			YEAR-TO-DATE SUMMARY  DIVIDENDS GROSS PROCEEDS FROM SALES			46,019.47 45,212,975.63

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			BALANCE FORWARD			407,079.00
146	146	43955	S & P 100 INDEX NOVEMBER 460 CALL	15.800		230,534.00
		48281	S & P 100 INDEX NOVEMBER 450 PUT	17.800	260,026.00	
	146	34385	S & P 100 INDEX DECEMBER 430 CALL	26		379,454.00
146		38710	S & P 100 INDEX DECEMBER 420 PUT	30	438,146.00	
146		43035	S & P 100 INDEX NOVEMBER 460 CALL	3	43,946.00	
	146	47360	S & P 100 INDEX NOVEMBER 450 PUT	37		540,054.00
			NEW BALANCE			815,003.00
			SECURITY POSITIONS			
	146		S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
146			S & P 100 INDEX DECEMBER 420 PUT	16.500		
			MARKET VALUE OF SECURITIES LONG 240,900.00 SHORT 340,180.00-			